



10-21-02 # 76 WEST (3) 2159
S. Lewis \$
Express Mail No.: EL 500 576 579 US
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Altomare *et al.*

Application No.: 09/397,704

Group Art Unit: 2164

Filed: September 16, 1999

Examiner: Akers, G.

For: SYSTEM AND METHOD FOR
ADMINISTERING PRINCIPAL
PROTECTED EQUITY LINKED
FINANCIAL INSTRUMENTS

Attorney Docket No.: 6925-196

RESPONSE TO OFFICE ACTION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RECEIVED
OCT 25 2002
GROUP 3600

Amendment

Please amend the above-identified application as follows:

IN THE CLAIMS:

Marked up versions of all revised claims, showing insertions and deletions, are included in Appendix A. A complete set of all claims as they will read after entry of the amendment is included in Appendix B.

Please cancel claims 3, 5, 13, 16-24, 27, and 37, without prejudice.

Please amend claims 1, 2, 4, 7, 11, 12, 15, 25, 26, 28, 29, 33, 36, 39 and 40
as follows: